EFFICIENT PROCESS FOR HANDOVER BETWEEN SUBNET MANAGERS

The present invention is related to the subject matter of the following commonly assigned, co-pending United States Patent Applications filed concurrently herewith: Serial No. _____ (Docket No. AUS9-2000-0620) entitled "Method and System for Informing An Operating System In A System Area Network When A New Device Is Connected"; Serial No. (Docket No./AUS9-2000-0622) entitled "Method and System For Scalably Selecting Unique Transaction Identifiers"; Serial No. (Docket No. AUS9-2000-0623) entitled / Method And System For Reliably Defining and Determining Timeout Values In Unreliable Datagrams"; and Serial /No. (Docket No. AUS9-2000-0624) entitled "Method/ and System For Choosing A Queue Protection Key That is/Tamper-proof From An Application". The content of the above-referenced applications is incorporated herein by reference.

BACKGROUND OF THE INVENTION

1. Technical Field:

The present invention relates in general to computer networks and, in particular, to merging of independent computer networks. Still more particularly, the present invention relates to a method and system for providing an efficient handover of control between Subnet managers of separate subnets, which are being merged into a single subnet.

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2. Description of the Related Art:

The use of I/O interconnects to connect components of a distributed computer system is known in the art. Traditionally, in such systems, individual components are interconnected via a parallel bus, such as a PCIX bus. The parallel bus has a relatively small number of plug-in ports for connecting the components. The number of plug-in-ports is set (i.e., the number cannot be increased). At maximum loading, a PCIX bus transmits data at about 1 Gbyte/second.

The introduction of high performance adapters (e.g., SCSI adapters), Internet-based networks, and other high performance network components has resulted in increased demand for bandwidth, faster network connections, distributed processing functionality, and scaling with processor performance. These and other demands are quickly outpacing the current parallel bus technology and are making the limitations of parallel buses even more PCIX bus, for example, is not scalable, i.e., the length of the bus and number of slots available at a given frequency cannot be expanded to meet the needs for more components, and the limitation hinders further development of fast, efficient distributed networks, such as system area networks. New switched network topologies and systems capable of being easily expanded are required to keep up with the increasing demands, while allowing the network processes on the expanding network to be dynamically completed. i.e., without manual input.

The present invention recognizes the need for

faster, more efficient computer interconnects offering the features demanded by the developments of technology. More specifically, the present invention recognizes the need for providing a mechanism within a network such as a System Area Network (SAN) consisting of multiple subnets, that provides efficient, dynamic combining of two or more subnets into a single network.

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SUMMARY OF THE INVENTION

A method and system for efficiently combining (or merging) subnets having individual master subnet managers into a single network with one master subnet manager is disclosed. The invention is thus applicable to a distributed computing system, such as a system area network, having end nodes, switches, and routers, and links interconnecting these components. The switches and routers interconnect the end nodes and route packets, i.e., sub-components of messages being transmitted, from a source end node to a target end node. The target end node then reassembles the packets into the message.

During discovery and configuration of a subnet, a subnet manager creates a Subnet Management Database (SMDB) representative of the subnet components being managed. Each subnet manager contains an independent SMDB. When two or more subnets are merged (i.e., linked/connected together) to form a single network, a single one of the subnet managers is selected as the master subnet manager and all the subnets SMDBs must be merged. The other subnet managers are relegated to standby status. In a preferred embodiment, a SMDB record labeling mechanism is utilized to differentiate among components from the different subnets that may have the same parameter values, such as protection keys (P keys).

In one embodiment of the invention, when there are two or more separate SMDBs, each maintained by separate master subnet managers on a separate subnet, when those separate subnets are linked together requiring they be merged into

one larger subnet, means are provided for efficient merging the SMDBs. In this manner the separate subnets become a single subnet, with a single master subnet manager and with a single SMDB.

All objects, features, and advantages of the present invention will become apparent in the following detailed written description.

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BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself however, as well as a preferred mode of use, further objects and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

Figure 1A depicts a system area network (SAN) in which the present invention is preferably implemented;

Figure 1B is a diagram illustrating the software aspects of SAN management model in accordance with the present invention;

Figure 2 is a diagram illustrating software aspects of an exemplary host processor end node for the SAN of Figure 1 in accordance with the present invention;

Figure 3 is a diagram of an exemplary host channel adapter of the SAN of Figure 1 in accordance with the present invention;

Figure 4 is a diagram illustrating processing of work requests with queues in accordance with a preferred embodiment of the present invention;

Figure 5 is an illustration of a data packet in accordance with a preferred embodiment of the present invention;

Figure 6 is a diagram illustrating a communication over a portion of a SAN fabric;

Figure 7 is a diagram illustrating packet transfers
in accordance with the invention;

Figure 8 is a diagram illustrating two independent networks, which are linked together to form a single network in accordance with a preferred embodiment of the invention;

Figure 9A is a flow chart showing the process of time stamping SMDB entries in accordance with a preferred embodiment of the invention; and

Figure 9B is a flow chart showing the process absorbing an SMDB by a master subnet manager in accordance a preferred embodiment of the invention.

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DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention is directed to a method for efficient handover of control to a single master subnet manager when two or more subnets having subnet managers are merged. The invention is applicable to a distributed computer system, such as a system area network (SAN). The invention is implemented in a manner that allows the merged subnet overlaps in parameters, such as P_Keys, to be resolved.

In order to appreciate the environment within which the invention is preferably practiced, a description of a SAN configured with routers, switches, and end nodes, etc. is provided below. Presentation of the environment and particular functional aspects of the environment which enable the invention to be practiced are provided with reference to **Figures 1-5**. Section headings have been provided to distinguish the hardware and software architecture of the SAN. However, those skilled in the art understand that the descriptions of either architecture necessarily includes references to both components.

SAN HARDWARE ARCHITECTURE

With reference now to the figures and in particular with reference to Figure 1, there is illustrated an exemplary embodiment of a distributed computer system. Distributed computer system 100 represented in Figure 1 is provided merely for illustrative purposes, and the embodiments of the present invention described below can

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be implemented on computer systems of numerous other types and configurations. For example, computer systems implementing the present invention may range from a small server with one processor and a few input/output (I/O) adapters to very large parallel supercomputer systems with hundreds or thousands of processors and thousands of I/O adapters. Furthermore, the present invention can be implemented in an infrastructure of remote computer systems connected by an Internet or intranet.

As shown in **Figure 1**, distributed computer system **100** includes a system area network (SAN) **113**, which is a high-bandwidth, low-latency network interconnecting nodes within the distributed computer system. More than one (1) SAN **113** may be included in a distributed computer system **100** and each SAN **113** may comprise multiple subnetworks (subnets).

A node is herein defined to be any component that is attached to one or more links of a network. In the illustrated distributed computer system, nodes include host processors 101, redundant array of independent disks (RAID) subsystem 103, I/O adapters 105, switches 109A-109C, and router 111. The nodes illustrated in Figure 1 are for illustrative purposes only, as SAN 113 can connect any number and any type of independent nodes. Any one of the nodes can function as an end node, which is herein defined to be a device that originates or finally consumes messages or frames in the distributed computer system 100.

SAN 113 is the communications and management infrastructure supporting both I/O and inter-processor communications (IPC) within distributed computer system 100. Distributed computer system 100, illustrated in Figure 1, includes a switched communications fabric (i.e., links, switches and routers) allowing many devices to concurrently transfer data with high-bandwidth and low latency in a secure, remotely managed environment. End nodes can communicate over multiple ports and utilize multiple paths through SAN 113. The availability of multiple ports and paths through SAN 113 can be employed for fault tolerance and increased-bandwidth data transfers.

SAN 113 includes switches 109A-109C and routers 111. Switch 109A-109C connects multiple links together and allows routing of packets from one link to another link within SAN 113 using a small header Destination Local Identifier (DLID) field. Router 111 is capable of routing frames from one link in a first subnet to another link in a second subnet using a large header Destination Globally Unique Identifier (DGUID). Router 111 may be coupled via wide area network (WAN) and/or local area network (LAN) connections to other hosts or other routers.

In SAN 113, host processor nodes 101 and I/O nodes 106 include at least one Channel Adapter (CA) to interface to SAN 113. Host processor nodes 101 include central processing units (CPUs) 119 and memory 121. In one embodiment, each CA is an endpoint that implements

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the CA interface in sufficient detail to source or sink packets transmitted on SAN 113. As illustrated, there are two CA types, Host CA (HCA) 117 and Target CA (TCA) 127. HCA 117 is used by general purpose computing nodes to access SAN 113. In one implementation, HCA 117 is implemented in hardware. In the hardware implementation of HCA 117, HCA hardware offloads much of CPU and I/O adapter communication overhead. The hardware implementation of HCA 117 also permits multiple concurrent communications over a switched network without the traditional overhead associated with communicating protocols. Use of HCAs 117 in SAN 113 also provides the I/O and IPC consumers of distributed computer system 100 with zero processor-copy data transfers without involving the operating system kernel process. HCA 117 and other hardware of SAN 113 provide reliable, fault tolerant communications.

The I/O chassis 106 includes I/O adapter backplane and multiple I/O adapter nodes 105 that contain adapter Exemplary adapter cards illustrated in Figure 1 include SCSI adapter card 123A, adapter card 123B to fiber channel hub and FC-AL devices, Ethernet adapter card 123C, graphics adapter card 123D, and video adapter card 123E. Any known type of adapter card can be implemented. The I/O chassis 106 also includes switch 109B in the I/O adapter backplane to couple adapter cards 123A-123E to SAN 113.

RAID subsystem 103 includes a microprocessor 125, memory 126, a Target Channel Adapter (TCA) 127, and

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multiple redundant and/or striped storage disks 129.

In the illustrated SAN 113, each link 115 is a full duplex channel between any two network elements, such as end nodes, switches 109A-109C, or routers 111. Suitable links 115 may include, but are not limited to, copper cables, optical cables, and printed circuit copper traces on backplanes and printed circuit boards. The combination of links 115 and switches 109A-109C, etc. operate to provide point-to-point communication between nodes of SAN 113.

SAN SOFTWARE ARCHITECTURE Software Components

Software and hardware aspects of an exemplary host processor node 101 are generally illustrated in Figure 2. Host processor node 101 includes one or more processors that execute a set of consumer processes 201. Host processor node 101 includes HCA 117 with ports 205. Each port 205 connects to a link 115 of SAN 113. Ports 205 can connect to one SAN subnet or multiple SAN subnets. Utilizing message and data services 203, consumer processes 201 transfer messages to SAN 113 via verbs interface 207. Verbs interface 207 is generally implemented with an operating-system specific programming interface.

A software model of HCA 117 is illustrated in Figure 3. HCA 117 includes a set of queue pairs (QPs) 301, which transfer messages across ports 205 to the subnet.

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A single HCA 117 may support thousands of QPs 301. By contrast, TCA 127 in an I/O adapter typically supports a much smaller number of QPs 301. Also illustrated are subnet management administration (SMA)209, management packets 211 and a number of virtual lanes 213, which connect transport layer with ports 205.

Turning now to Figure 5, there is illustrated a software management model for nodes on SAN 113. SAN architecture management facilities provides a Subnet Manager (SM) 303A, a Subnet Administration (SA) 303B, and an infrastructure that supports a number of general management services. The management infrastructure includes a Subnet Management Agent (SMA) 307 operating in each node and defines a general service interface that allows additional general services agents. Also, SAN architecture defines a common management datagram (MAD) message structure for communicating between managers and management agents.

SM 303A is responsible for initializing, configuring and managing switches, routers, and channel adapters. The SM can be implemented within other devices, such as a channel adapter or a switch. One SM 303A of SAN is dedicated as a master SM and is responsible for: discovering the subnet topology; configuring each channel adapter port with a range of Local Identification (LID) numbers, Global Identification (GID) number, subnet prefix, and Partition Keys (P_Keys); configuring each switch with a LID, the subnet prefix, and with its forwarding database; and maintaining the end node and

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service databases for the subnet to provide a Global Unique Identification (GUID) number to LID/GID resolution service as well as a services directory. Thus, management of SAN 113 and SAN components, such as HCAs 117, TCAs (or end nodes) 127, switches 109, and routers 111 are completed utilizing Subnet Management (SM) 303A and Subnet Administration (SA) 303B. SMPs are used to discover, initialize, configure, and maintain SAN components through management agents 307 of end nodes 305. SAN SA packets are used by SAN components to query and update subnet management data. Control of some aspects of the subnet management are provided via a user management console 311 in host-based end node 309.

MESSAGE TRANSFER PROCESS

SAN 113 provides the high-bandwidth and scalability required for I/O and also supports the extremely low latency and low CPU overhead required for Interprocessor Communications (IPC). User processes can bypass the operating system (OS) kernel process and directly access network communication hardware, such as HCAs 117, which enable efficient message passing protocols. SAN 113 is suited to current computing models and is a building block for new forms of I/O and computer cluster communication. SAN 113 allows I/O adapter nodes 105 to communicate among themselves or communicate with any or all of the processor nodes 101 in the distributed computer system. With an I/O adapter attached to SAN 113, the resulting I/O adapter node 105 has substantially the same communication capability as any processor node

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101 in the distributed computer system.

For reliable service types of messages, end nodes, such as host processor nodes 101 and I/O adapter nodes 105, generate request packets and receive acknowledgment packets. Switches 109A-109C and routers 111 pass packets along from the source to the target (or destination). Except for the variant CRC trailer field, which is updated at each transfer stage in the network, switches 109A-109C pass the packets along unmodified. Routers 111 update the variant CRC trailer field and modify other fields in the header as the packet is routed.

In SAN 113, the hardware provides a message passing mechanism that can be used for Input/Output (I/O) devices and Interprocess Communications (IPC) between general computing nodes. Consumers (i.e., processing devices connected to end nodes) access SAN 113 message passing hardware by posting send/receive messages to send/receive work queues (WQ), respectively, on a SAN Channel Adapter (CA).

A message is herein defined to be an application-defined unit of data exchange, which is a primitive unit of communication between cooperating processes. A packet (or frame) is herein defined to be one unit of data encapsulated by networking protocol headers (and trailer). The headers generally provide control and routing information for directing the packet (or frame) through SAN 113. The trailer generally contains control and cyclic redundancy check (CRC) data for ensuring that frames are not delivered with corrupted content.

Consumers use SAN verbs to access HCA functions. The software that interprets verbs and directly accesses the CA is known as the Channel Interface (CI) 219. Send/Receive work queues (WQ) are assigned to a consumer Messages may be sent over five as a Queue Pair (QP). different transport types, Reliable Connected (RC), Reliable Datagram (RD), Unreliable Connected (UC), Unreliable Datagram (UD), and Raw Datagram (RawD). Consumers retrieve the results of these messages from a Completion Queue (CQ) through SAN send and receive work completions (WC). The source CA takes care of segmenting outbound messages and sending them to the destination. The destination or target CA takes care of reassembling inbound messages and placing them in the memory space These features designated by the destination's consumer. are illustrated in the figures below.

Referring now to Figure 4, there is illustrated a block diagram of work and completion queue processing. Each QP 301 provides an input to a Send Work Queue (SWQ) 407 and a Receive Work Queue (RWQ) 409. SWQ 407 sends channel and memory semantic messages, and RWQ 409 receives channel semantic messages. A consumer calls a verb (within verbs interface 207) to place Work Requests (WRs) into a WQ. A Send WR 403 is a channel semantic operation to push a set of local data segments 417 to the data segments referenced by a remote node's Receive WQE Each of the Send WR's data segments 417 contains a virtually contiguous memory region. The virtual addresses used to reference the local data segments 417 are in the address context of the process that created

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the local QP 301.

As shown in **Figure 4**, WRs **403** that have been placed onto a WQ by consumer process **401** are referred to as work queue elements (WQEs) **405**. WQEs **405** are executed by hardware **415** in HCA **117**. SWQ **407** contains WQEs **405** that describe data to be transmitted on the SAN fabric. RWQ **409** contains WQEs **405** that describe where to place incoming channel semantic data received from SAN **113**.

In one embodiment, Receive Work Queues 409 only support one type of WQE 405, which is referred to as a receive WQE. The receive WQE provides a channel semantic operation describing a local memory space into which incoming send messages are written. The receive WQE includes a scatter list describing several virtually contiguous memory spaces. An incoming send message is written to these memory spaces. The virtual addresses are in the address contexts of the process that created the local QP 301.

Verbs interface 207 also provide a mechanism for retrieving completed work from completion queue 411.

Completion queue 411 contains Completion Queue Elements (CQEs) 413 which 413 contain information about previously completed WQEs 405. Completion queues 413 are employed to create a single point of completion notification for multiple QPs 301. CQE 413 contains sufficient information to determine the QP 301 and specific WQE 405 that completed. A completion queue context (not shown) is a block of information that contains pointers to,

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length, and other information needed to manage individual completion queues 411.

REMOTE OPERATION FUNCTIONALITY

SAN 113, with its interlinked arrangement of components and sub-components, provides a method for completing remote operations, by which processor nodes may directly control processes in I/O nodes. Remote operation also permits the network to manage itself. A remote direct memory access (RDMA) Read WR provides a memory semantic operation to read a virtually contiguous memory space on a remote node. A memory space can either be a portion of a memory region or a portion of a memory window. A memory region references a previously registered set of virtually contiguous memory addresses defined by a virtual address and length. A memory window references a set of virtually contiguous memory addresses which have been bound to a previously registered region.

The RDMA Read WR writes the data to a virtually contiguous local memory space. Similar to Send WR 403, virtual addresses used by the RDMA Read WQE to reference the local data segments are in the address context of the process that created the local QP 301. The remote virtual addresses are in the address context of the process owning the remote QP targeted by the RDMA Read WQE.

RDMA Write WQE provides a memory semantic operation to write a virtually contiguous memory space on a remote node. RDMA Write WQE contains a scatter list of local

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virtually contiguous memory spaces and the virtual address of the remote memory space into which the data from the local memory spaces is written.

RDMA FetchOp WQE provides a memory semantic operation to perform an atomic operation on a remote word. RDMA FetchOp WQE is a combined RDMA Read, Modify, and Write operation. RDMA FetchOp WQE can support several read-modify-write operations, such as "Compare and Swap if Equal."

A Bind (unbind) remote access key (R_Key) WQE provides a command to the HCA hardware to modify a memory window by associating the memory window to a memory region. A second command to destroy a memory window by disassociating the memory window to a memory region is also provided. The R_Key is part of each RDMA access and is used to validate that the remote process has permitted access to the buffer.

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associated. The QP is barred from communicating with QPs in another partition even if the node's HCA port, which the QP uses, has access to different partitions. RD QPs, however, can communicate with any given partition the node's HCA has access to, so long as there is an underlying End-End Context (EEC) which is associated with the given partition.

The present invention provides a method for seamlessly merging (i.e., linking or connecting) two independent subnets having separate subnet managers (SMs) into a single SAN 113 with a master SM selected from among the separate SMs. The invention utilizes time stamps and GUID addresses on SMDB entries as an aid for a Subnet Manager to efficiently absorb the SMDB of another subnet manager during the subnet management handover process.

Figure 8 illustrates a SAN 113 comprising two separate systems (subnets), each having its own master subnet managers, which are interlinked. System 1 801 includes Host1 803, Master Subnet Manager SM1 805, and devices 1A 809 and 1B 807 all interconnected via subnet 810. System 2 811 includes Host2 813, Master Subnet Manager SM2 815, and devices 2A 819 and 2B 817 connected to subnet 820. In the illustrative embodiment, both systems utilize the same P_Key assignments. Device A1 809 has a P_Key assigned with a value of 1, and device 1B 807 has a P_Key assigned with a value of 5. Device 2A 819 has a P_Key assigned with a value of 2, and device 2B 817 has a P_Key assigned with a value of 5. Thus, the

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two systems are joined together via a link 821 to from SAN 113. The linking of the two systems may be completed via a switch as described above with reference to Figure 1A. Thus, devices 1B 807 and 2B 817 of different systems are each assigned a P_Key value of 5. In a preferred embodiment, the invention provides a method for handling the overlaps which occur in the P_Key assignments when the systems are merged as described below.

When the two systems of Figure 8 are initially merged, one of the two master subnet managers is relegated to a standby subnet manager, while the other SM becomes the master subnet manager of the new merged subnet (SAN 113). Selection of the particular SM that becomes the master SM of the merged system may be dependent on priority values associated with each SM during their initial configuration. In a priority scheme, the SM with the highest priority is automatically selected as the master SM. Priority value may be assigned by a subnet administrator when the subnet is initially configured. In the event that two SMs have the same priority value, then, in the preferred embodiment, the SM with the lowest GUID is selected to be the master subnet manager. During the handover process, the master subnet manager of the newly-formed merged network receives the SMDB of the other subnet manager, reconfigures the network or network components where necessary and takes over management of the total merged network.

The method utilized by the present invention to accomplish the seamless transfer of SMDB is to timestamp each SMDB with the time at which the last change was made in that SMDB. With this timestamp, the SM that is accepting the handover can then determine the correct

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action to take in handling each entry as the SMDB(s) being taken over is being absorbed. Referring to Figure 9A, the process of time stamping entries in SMDB is illustrated. The process begins at block 901, and following, a determination is made whether there is a change to a Subnet Management Database at block 903. If not then the process loops back to 901. If there was a change 903, then the entry is time stamped with the time of the last change to the entry in that SMDB, and the process returns to block 901.

Referring now to Figure 9B, the process begins at block 951. Next, a determination is made at block 953 whether there is a merge of subnets occuring, and if no merge is occuring, the process returns to block 951. however, a merge of subnets is occuring, the master subnet manager of the new subnet being formed by merging two or more other subnets begins to examine all entries of SMDBx with it's own SMDBb at block 955. herein, SMDBx refers to the database of subnets whose subnet managers are not selected as the master subnet manager when a merger of the subnets occurs. refers to the database of the master subnet manager prior to merger of the databases. returning to Figure 9B, a determination is made at block 957 whether the master subnet manager finds the same GUID entry in both SMDBx If the same GUID entry is found, the master and SMBDb. subnet manager keeps the one with the latest time stamp and discards the other at block 959. If, however, the same GUIDs are not found, the process moves to block 961.

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Next a determination is made at block 961 whether all GUIDS have been examined in SMDBx. If not all the GUIDs have been examined, then the process returns to block 957; however, if all the GUIDs have been examined, the process moves to block 963, where a determination is made whether SMDBx has the same P Key entries for different GUIDS as in SMDBb. If yes, then the master subnet manager will change all occurrences of that P Key in SMDBx to a new unique P Key value, which is different than that in either SMDBx or SMDBb at block 965. process then moves to block 967. If the P Key entries are not the same for SMDBx and SMDBb, then a determination is made at block 967 whether all entries in SMDBx have been examined. If all the entries have not been examined, the process returns to block 963. entries have been examined in SMDBx, then the SMDBx is merged with SMDBb at block 969. Next, a determination is made at block 971 whether there is another database to be merged with master subnet manager's SMDBb, and if so, the process returns to block 955. Otherwise, the process ends at block 973.

There may be other overlapping information in the respective databases that may need to be merged as described for the P_Keys and GUIDs above. These would follow a similar process as described in Figure 9B.

As a final matter, it is important to note that while an illustrative embodiment of the present invention has been, and will continue to be, described in the context of a fully functional data processing system, those skilled in

the art will appreciate that the software aspects of an illustrative embodiment of the present invention are capable of being distributed as a program product in a variety of forms, and that an illustrative embodiment of the present invention applies equally regardless of the particular type of signal bearing media used to actually carry out the distribution. Examples of signal bearing media include recordable type media such as floppy disks, hard disk drives, CD ROMs, and transmission type media such as digital and analogue communication links.

In the above detailed description of the preferred embodiments, reference is made to the accompanying drawings which form a part hereof, and in which is shown, by way of illustration, specific embodiments in which the invention may be practiced. It is to be understood that other embodiments may be utilized and structural or logical changes may be made without departing from the scope of the present invention. For example, although the invention is described with reference to multiple computing nodes accessing a single database, the invention is applicable to all other transactions occurring on the network. The above detailed description, therefore, is not to be taken in a limiting sense, and the scope of the present invention is defined by the appended claims.